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DIANE E. BURKEDATE: September 26, 2003  
DEST. FAX NO. 703-308-7382  
NUMBER OF PAGES: 10

TO: Examiner Amel C. Lavaras

FROM: Diane Burke

RE: Serial No. 09/836,685

REMARKS:

**PRELIMINARY AMENDMENT****FAX RECEIVED**

SEP 26 2003

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PTO/SB/21 (05-03)

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<b>TRANSMITTAL FORM</b> <small>(to be used for all correspondence after initial filing)</small>	Application Number	09/836,685	
	Filing Date	April 17, 2001	
	First Named Inventor	Jefferson E. Odhner	
	Art Unit	2872	
	Examiner Name	Amel C. Lavarías	
Total Number of Pages in This Submission	10	Attorney Docket Number	LUC 2-028-3-1

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or individual name	Mueller and Smith, LPA	
Signature	<i>James E. Burke</i>	
Date	September 26, 2003	

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Amendment dated September 26, 2003  
Preliminary Amendment Following RCE

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Appln. No. 09/836,685  
Amendment dated September 26, 2003  
Preliminary Amendment Following RCE

# 16/0

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PB

In re Application of : Jefferson E. Odhner, et al.  
Serial No. : 09/836,685  
Filed: : April 17, 2001  
For: : Direction of Optical Signals by a Movable Diffractive Optical  
Element  
TC/AU : 2872  
Examiner : Arnel C. Lavarias  
Attorney Docket No. : LUC 2-026-3-1

10/10/03

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PRELIMINARY AMENDMENT

Sir:

Please amend the above-identified application as follows:

Listing of Claims begins on page 2 of this paper.

Remarks/Arguments begin on page 7 of this paper.

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